

Ryan White HIV/AIDS Program Parts C and D Stakeholders Call

November 3, 2016

**Department of Health and Human Services
Health Resources and Services Administration
HIV/AIDS Bureau, Division of Community HIV/AIDS Programs
Division Director: Mahyar Mofidi, DMD, Ph.D.
Deputy Director: Stacey Evans, Ph.D.**

HIV/AIDS Bureau Vision and Mission

Vision

Optimal HIV/AIDS care and treatment for all.

Mission

Provide leadership and resources to assure access to and retention in high quality, integrated care, and treatment services for vulnerable people living with HIV/AIDS and their families.

Agenda

- **Program Updates**
- **Policy Clarification Notice (PCN) #16-02**

Program Updates

- RWHAP Part C Funding Allocation Methodology Study
- FY 2017 RWHAP Part D FOA
- Health Insurance Marketplace
- Integration of Oral Health and Primary Care TA Project
- Community Health Workers Cooperative Agreement
- SAVE THE DATE: Center for Engaging Black MSM Across the Care Continuum (CEBACC)

RWHAP Part C Funding Allocation Methodology Study

- Study updates provided at the 2016 National Ryan White Conference on HIV Care and Treatment DCHAP Business Day will be distributed to all recipients.
- Questions? Submit them to AskDCHAP@hrsa.gov

RWHAP Part D

- **Funding Opportunity Announcement**
 - FY 2017 Ryan White HIV/AIDS Program Part D for Coordinated Services and Access to Research for Women, Infants, Children, and Youth FOA will be released before the end of the year
 - Three year project period

The Health Insurance Marketplace

Now Is The Time To Get Covered

Open Enrollment Period
November 1, 2016 – January 31, 2017

- For More Information and to Compare, Update, or Choose a New Plan Visit: www.healthcare.gov
- Need Help Understanding Your New Coverage?
 - Visit Marketplace.CMS.Gov/C2C to access “From Coverage to Care”, an initiative to help people with new health care coverage understand their benefits and connect to primary care and preventive services.

Integration of Oral Health and Primary Care Technical Assistance to RWHAP Recipients

Project Description

Investment Title	FY2017 Amount	Type	Project Description
Integration of Oral Health and Primary Care Technical Assistance to RWHAP Recipients	2 Years \$250K	New Contract	This contract will identify barriers, facilitators and promising practices associated with integrating oral health in primary care across Ryan White HIV/AIDS Program grant recipients. The contract will support development and dissemination of the final report as well as technical assistance webinars, presentations, and a resource guide.

Improving Access to Care: Using Community Health Workers to Improve Linkage and Retention in HIV Care

- Supported through the Department of Health and Human Services Secretary's Minority AIDS Initiative Fund
- The purpose of this three year cooperative agreement is to increase:
 - Utilization of community health workers to strengthen the health care workforce and
 - Improve access to health care and health outcomes for racial and ethnic minority people living with HIV.

Technical Assistance and Evaluation Center: Focusing on Community Health Workers

- Technical Assistance and Evaluation Center (TAEC) activities
 - Webinars/Webcasts
 - Direct Technical Assistance
 - Learning Collaborative
- TAEC will identify RWHAP medical provider sites to provide technical assistance
- TAEC will develop an evaluation tool to determine effectiveness of the implemented CHW programs
- Development of a CHW implementation guide

Center for Engaging Black MSM Across the Care Continuum Webinar

- DCHAP will be hosting a webinar on **November 14th from 2- 4 pm EST** on the Center for Engaging Black MSM Across the Care Continuum (CEBACC).
- They were created in partnership with HRSA/HAB and NASTAD comprised of His Health and Well Versed, two web-based platforms offering resources and learning opportunities for patients and providers to elevate the Standard of Care for Black MSM for HIV medical care.
- **The presentation will focus on:**
 - Models of care and best practices for care and treatment for Black MSM
 - Continuing Medical Education and Continuing Nursing credit opportunities

Question and Answer



***Allowable Uses of Funds of RWHAP
Funds: A Closer Look at the Updated
Service Categories***

***Policy Clarification Notice (PCN)
#16-02 Ryan White HIV/AIDS Program
Services: Eligible Individuals &
Allowable Uses of Funds***

HAB Staff

- **Tarsha Cavanaugh, Ph.D, MSW, LGSW**
HRSA/HIV AIDS Bureau
Division of Policy and Data
tcavanaugh@hrsa.gov
- **Stephanie Yun, MPH, CHES**
HRSA/HIV AIDS Bureau
Division of Community HIV/AIDS
Programs
syun@hrsa.gov
- **Michelle Li, MPH**
HRSA/HIV AIDS Bureau
Division of Community HIV/AIDS
Programs
mli@hrsa.gov

Outline

- Review PCN #16-02
- Document and Program Guidance related to PCN #16-02
- Examples of Unallowable Costs
- Service Category (Core Medical and Support Services) Clarifications
- Practical application of service categories (Q&A)

Purpose of PCN #16-02 RWHAP Services: Eligible Individuals & Allowable Uses of Funds

- Ensure consistency of program service categories with RWHAP legislation
- Clarify service categories and program guidance related to services provided through the RWHAP
- Align service categories across HIV/AIDS Bureau documents, products, and activities
- Effective for RWHAP awards issued on or after October 1, 2016

Documents and Program Guidance Related to PCN #16-02

- Ryan White HIV/AIDS Program Services Report (RSR)
- Funding Opportunity Announcements
- Program Terms in the Notice of Awards (NoAs)
- Site Visits Modules

Background

- RWHAP legislation – Title XXVI of the Public Health Service Act
- 45 CFR Part 75—Uniform Administrative Requirements, Cost Principles, and Audit Requirements for HHS Awards
 - §75.351 – 353—Subrecipient Monitoring and Management
 - Subpart E—Cost Principles

Eligible Individuals Served by the RWHAP

- The RWHAP serves low-income people living with HIV (PLWH) who are uninsured or underinsured
- Family members and affected individuals may be eligible for RWHAP services in limited situations, but these services must always be to support the health outcomes of a person living with HIV

Allowable Costs Related to PCN #16-02 – Service Categories

- Under certain circumstances, store gift cards or vouchers are allowable
- Core medical and support services in PCN 16-02
 - Must relate to HIV diagnosis, care and support
 - Must adhere to HIV clinical practice standards and consistent with HHS treatment guidelines

Unallowable Costs Related to PCN #16-02 – Service Categories

- Cash payments to intended clients of RWHAP-funded services
- General-use prepaid cards (i.e. Visa, MasterCard)
- Gift cards that are cobranded with the logo of a payment network or affiliated with group of merchants
- Other unallowable costs, which include:
 - Clothing
 - Employment and Employment-Readiness Services
 - Funeral and Burial Expenses
 - Property Taxes

***PCN #16-02 Ryan White HIV/AIDS
Program Services: Eligible
Individuals & Allowable Uses of
Funds – Service Category Definitions***

***Core Medical Services
Support Services***

PCN #16-02 Service Categories Overview

CORE MEDICAL	SUPPORT
<ul style="list-style-type: none">• <u>Outpatient/Ambulatory Health Services</u>• <u>AIDS Drug Assistance Program Treatments</u>• <u>AIDS Pharmaceutical Assistance</u>• Oral Health Care• <u>Early Intervention Services (EIS)</u>• Health Insurance Premium and Cost Sharing Assistance for Low-Income Individuals• Home Health Care• Home and Community-Based Health Services• Hospice Services• Mental Health Services• Medical Nutrition Therapy• Medical Case Management, including Treatment Adherence Services• Substance Abuse Outpatient Care	<ul style="list-style-type: none">• Non-Medical Case Management Services• Child Care Services• <u>Emergency Financial Assistance</u>• Food Bank/Home Delivered Meals• Health Education/Risk Reduction• Housing• Other Professional Services• Linguistic Services• Medical Transportation• Outreach Services• <u>Psychosocial Support Services</u>• Referral for Health Care and Support Services• Rehabilitation Services• Respite Care• <u>Substance Abuse Services (residential)</u>

PCN #16-02 Changes

- **Service descriptions and names**
 - Consistency with RWHAP statute
 - Non-medical Case Management
- **Consolidation of some services**
 - Pediatric Developmental Assessment
 - Treatment Adherence Services
 - Other Professional Services
- **Greater detail provided for some**
 - AIDS Pharmaceutical Assistance
 - Early Intervention Services

RWHAP Core Medical Services

<ul style="list-style-type: none"> ● <u>Outpatient/Ambulatory Health Services</u> 	<ul style="list-style-type: none"> ● <u>AIDS Drug Assistance Program Treatments</u>
<ul style="list-style-type: none"> ● <u>AIDS Pharmaceutical Assistance</u> 	<ul style="list-style-type: none"> ● Oral Health Care
<ul style="list-style-type: none"> ● <u>Early Intervention Services (EIS)</u> 	<ul style="list-style-type: none"> ● Medical Nutrition Therapy
<ul style="list-style-type: none"> ● Home Health Care 	<ul style="list-style-type: none"> ● Home and Community-Based Health Services
<ul style="list-style-type: none"> ● Hospice Services 	<ul style="list-style-type: none"> ● Mental Health Services
<ul style="list-style-type: none"> ● Health Insurance Premium and Cost Sharing Assistance for Low-Income Individuals 	<ul style="list-style-type: none"> ● Medical Case Management, including Treatment Adherence Services
<ul style="list-style-type: none"> ● Substance Abuse Outpatient Care 	

Outpatient/Ambulatory Health Services (OAHS)

Description:

- Diagnostic and therapeutic services provided directly to a client by a licensed healthcare provider in an outpatient medical setting
- Outpatient medical settings include clinics, medical offices, and mobile vans where clients do not stay overnight. Emergency room or urgent care services are not considered outpatient settings

Allowable services include:

Medical history taking	Physical examination
Diagnostic testing, including laboratory testing	Prescription and management of medication therapy
Behavioral risk assessment, subsequent counseling, and referral	Treatment and management of physical and behavioral health conditions
Preventive care and screening	Pediatric developmental assessment
Treatment adherence	Education and counseling on health and prevention issues
Referral to and provision of specialty care related to HIV diagnosis	

Early Intervention Services (EIS)

Description:

- The RWHAP legislation defines EIS for RWHAP Parts A, B, and C. See § 2651(e) of the Public Health Service Act

Guidance:

- Overlaps with other service category descriptions
- Combination of services, rather than stand-alone

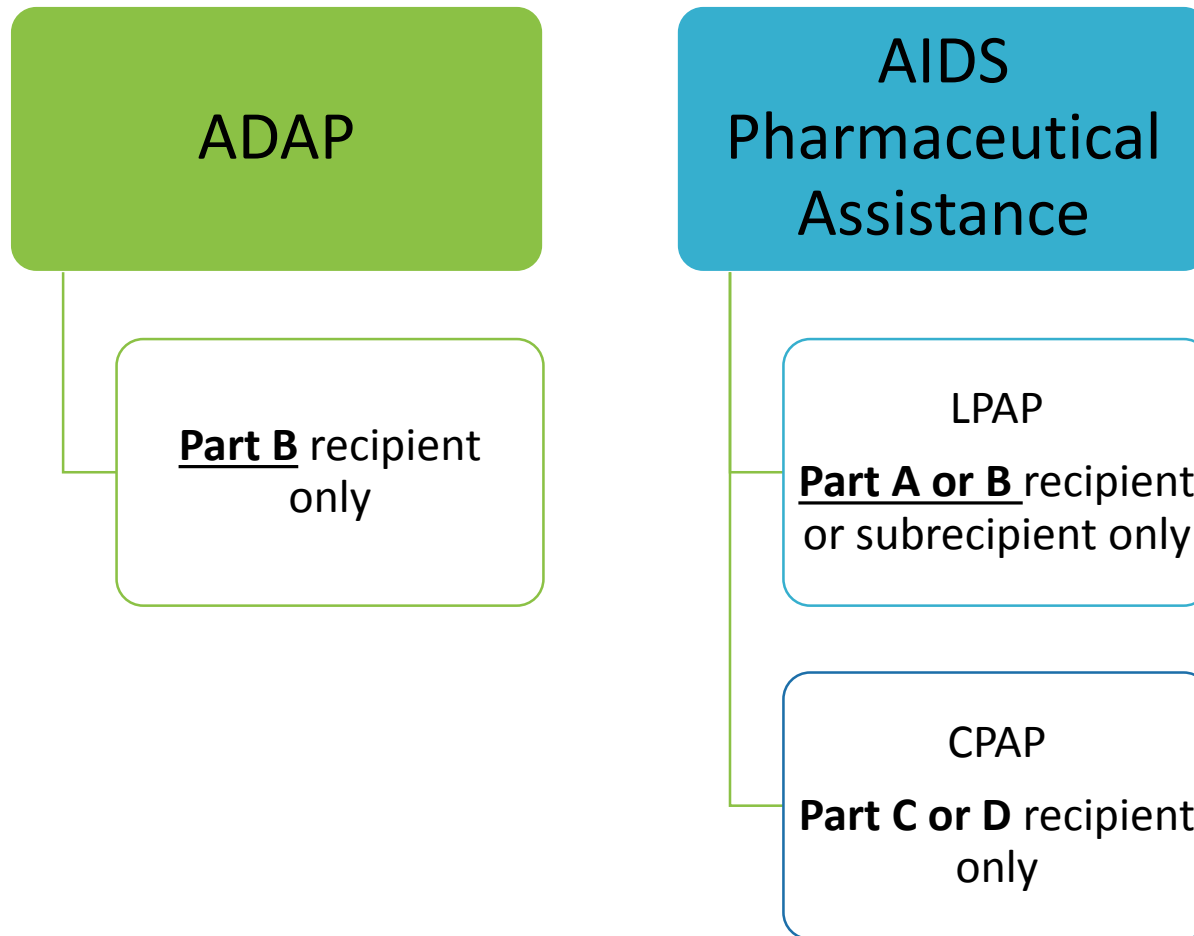
Early Intervention Services (EIS): RWHAP Parts A and B

- **RWHAP Parts A and B EIS services must include the following four components:**
 - Targeted HIV testing
 - Referral services
 - Access and linkage to HIV care and treatment services
 - Outreach Services and Health Education/Risk Reduction

Early Intervention Services (EIS): RWHAP Part C EIS

- **RWHAP Part C EIS services must include the following four components:**
 - Counseling individuals with respect to HIV
 - High risk targeted HIV testing (confirmation and diagnosis of the extent of immune deficiency)
 - Referral and linkage to care of HIV-infected clients to Outpatient/Ambulatory Health Services, Medical Case Management, Substance Abuse Care, and other services as part of a comprehensive care system including a system for tracking and monitoring referrals
 - Other clinical and diagnostic services related to HIV diagnosis

ADAP vs AIDS Pharmaceutical Assistance



RWHAP AIDS Drug Assistance Program (ADAP) Treatments

Description:

- State-administered program authorized under Part B of the RWHAP to provide FDA-approved medications to low-income clients with HIV disease who have no coverage or limited coverage from private insurance, Medicaid, or Medicare.

AIDS Pharmaceutical Assistance

- **Divided into two categories, based on RWHAP Part funding:**
 - Local Pharmaceutical Assistance Program (LPAP) is operated by a RWHAP Part A or B recipient or subrecipient
 - Community Pharmaceutical Assistance Program (CPAP) provided by a RWHAP Part C or D recipient

AIDS Pharmaceutical Assistance - LPAP

Description:

- Operated by a RWHAP Part A or B recipient or subrecipient.
- A supplemental means of providing medication assistance when an RWHAP ADAP has a restricted formulary, waiting list and/or restricted financial eligibility criteria.

AIDS Pharmaceutical Assistance – CPAP

Description:

- Community Pharmaceutical Assistance Program is provided by a RWHAP Part C or D recipient
- The provision of long-term medication assistance to eligible clients in the absence of any other resources
- The medication assistance must be greater than 90 days

Community Pharmaceutical Assistance Program (CPAP): Criteria for RWHAP Part C and D

- **RWHAP Part C or D recipients using this service category must establish the following:**
 - A financial eligibility criteria and determination process for this specific service category
 - A drug formulary consisting of HIV primary care medications not otherwise available to the client
 - Implementation in accordance with the requirements of the 340B Drug Pricing Program and the Prime Vendor Program

Question and Answer



Question # 1

- **Question #1:**

As a direct medical care provider funded by RWHAP Part C, which category should be used to capture the dispensing of medication?

- **Answer #1:**

Depending on the model of care, a direct provider of care could provide services under three different categories: AIDS Pharmaceutical Assistance (Community Pharmaceutical Assistance), OAHS (prescription and management of prescription therapy), or EFA. Availability of pharmaceutical resources will influence which category is used.

Question #2

- **Question #2**

Can I provide targeted HIV testing and referral services under Early Intervention Services (EIS)?

- **Answer #2**

Yes, in conjunction with the other required components of EIS. RWHAP Parts A and B EIS must include the following four components: targeted HIV testing, referral services, access and linkage to HIV care and treatment services, and health education/risk reduction related to HIV diagnosis.

Part C EIS services must include the following four components: counseling individuals with respect to HIV, high risk targeted HIV testing (confirmation and diagnosis of the extent of immune deficiency), referral and linkage to care of HIV-infected clients, and other clinical and diagnostic services related to HIV diagnosis.

Question #3

- **Question #3**

Which service categories can be used to purchase medications?

- **Answer #3**

Purchasing of medications can be done through many service categories. To determine the appropriate category, review the program guidance under: AIDS Drug Assistance Program (ADAP) Treatments, Outpatient Ambulatory Health Services (OAHS), Emergency Financial Assistance (EFA), AIDS Pharmaceutical Assistance (i.e., Local Pharmaceutical Assistance Program (LPAP), Community Pharmaceutical Assistance), Substance Abuse Outpatient Care, Substance Abuse Services (residential), and/or Hospice Services.

RWHAP Support Services

<ul style="list-style-type: none">• Non-Medical Case Management Services	<ul style="list-style-type: none">• Child Care Services
<ul style="list-style-type: none">• <u>Emergency Financial Assistance</u>	<ul style="list-style-type: none">• Food Bank/Home Delivered Meals
<ul style="list-style-type: none">• Health Education/Risk Reduction	<ul style="list-style-type: none">• <u>Housing</u>
<ul style="list-style-type: none">• Other Professional Services	<ul style="list-style-type: none">• Linguistic Services
<ul style="list-style-type: none">• Medical Transportation	<ul style="list-style-type: none">• Outreach Services
<ul style="list-style-type: none">• <u>Psychosocial Support Services</u>	<ul style="list-style-type: none">• Respite Care
<ul style="list-style-type: none">• Rehabilitation Services• Referral for Health Care and Support Services	<ul style="list-style-type: none">• <u>Substance Abuse Services (residential)</u>

Psychosocial Support Services

- **Description:**

- Psychosocial Support Services provide group or individual support and counseling services to assist eligible people living with HIV to address behavioral and physical health concerns.

These services may include:

- Bereavement counseling
- Caregiver/respice support (RWHAP Part D)
- Child abuse and neglect counseling
- HIV support groups
- Nutrition counseling provided by a non-registered dietitian (*see Medical Nutrition Therapy Services*)
- Pastoral care/counseling services

Emergency Financial Assistance

Description:

- Provides limited one-time or short-term payments to assist the RWHAP client with an emergent need for paying for essential utilities, housing, food (including groceries and food vouchers), transportation, and medication
- Can occur as a direct payment to an agency or through a voucher program

Housing: RWHAP Part C Policy Change

- Housing is now an allowable cost for RWHAP Part C programs
- On August 22, 2016, the HIV/AIDS Bureau released a Program letter that reiterated the critical role of housing on HIV health outcomes and announcing the recent housing policy update in Policy Clarification Notice #16-02: Eligible Individuals and Allowable Uses of Funds to allow RWHAP Part C recipients to use RWHAP funds for housing services.

Housing

- **Description:**
- Housing services provide transitional, short-term, or emergency housing assistance to enable a client or family to gain or maintain outpatient/ambulatory health services and treatment.
- Housing services include housing referral services and transitional, short-term, or emergency housing assistance.
- Transitional, short-term, or emergency housing provides temporary assistance necessary to prevent homelessness and to gain or maintain access to medical care.

Housing Continued

- Housing services must also include the development of an individualized housing plan, updated annually, to guide the client's linkage to permanent housing.
- Housing services also can include housing referral services: assessment, search, placement, and advocacy services; as well as fees associated with these services.

Housing

Eligible housing can include either housing that:

- Provides some type of core medical or support services (such as residential substance use disorder services or mental health services, residential foster care, or assisted living residential services); or
- Does not provide direct core medical or support services, but is essential for a client or family to gain or maintain access to and compliance with HIV-related outpatient/ambulatory health services and treatment.
- The necessity of housing services for the purposes of medical care must be documented.

Substance Abuse Services (Residential)

- HAB is in the process of revisiting PCN #16-02 Ryan White HIV/AIDS Program Services: Eligible Individuals & Allowable Uses of Funds regarding the inclusion of substance abuse services (residential) as an allowable cost under RWHAP Part C and Part D.
- The outcome of this assessment effort may impact the use of RWHAP Part C and Part D funds and program income toward substance abuse services (residential).
- We do not yet have a timeline for completing the review.

Program Income

- **Program income must be used for the purposes for which the award was made, and may only be used for allowable costs under the award.**
 - For RWHAP Parts A, B, and C, allowable costs are limited to core medical and support services, clinical quality management, and administrative expenses (including planning and evaluation) as part of a comprehensive system of care for low-income individuals living with HIV.
- Please refer to PCN #15-03 for further reference:
http://hab.hrsa.gov/affordablecareact/pcn_15-03_program_income.pdf

Question and Answer



Question and Answer #1

- **Question #1**

Should Emergency Financial Assistance (EFA) funds that are used for allowable services (food, housing, transportation, etc.) be accounted under the corresponding service category or the specific category of EFA?

- **Answer #1**

The funds should be counted under EFA regardless of how the funds were used.

Question and Answer #2

- **Question #2**

Can RWHAP funds be used to support any housing related referral services which includes assessment, search, placement, advocacy, and the fees associated with obtaining housing services?

- **Answer #2**

Yes, the housing related referrals services mentioned above are allowable per page 17 of PCN #16-02 Ryan White HIV/AIDS Program Services: Eligible Individuals and Allowable Uses of Funds.

Question and Answer #3

- **Question #3**

Must RWHAP recipients and sub-recipients develop an individual housing plan for each client receiving housing services and update it annually?

- **Answer #3**

Yes, each client receiving RWHAP housing services is required to have a housing plan that guides the client's linkage to permanent housing.

Question and Answer #4

- **Question #4**

Must RWHAP recipients and sub-recipients have mechanisms in place to allow newly identified clients access to housing services?

- **Answer #4**

Yes, RWHAP recipients must have policies in place to ensure that new clients are able to access needed housing services.

Questions from the Chatpod



Recap

- Policy Clarification Notice #16-02 Eligible Individuals & Allowable Uses of Funds <http://hab.hrsa.gov>
- Effective for awards issued on or after October 1, 2016 (including new awards, supplements, competing and non-competing continuations)
- Resources
 - Frequently Asked Questions
 - Contact your Project Officer

THANK YOU

