FY 2017 ADAP Emergency Relief Funds
Funding Opportunity Announcement: HRSA #17-037
DSHAP Mission

To provide leadership and support to States/Territories for developing and ensuring access to quality HIV prevention, health care and support services.
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Participant Evaluation
Announcements

Michael Goldrosen, Director
Division of State HIV/AIDS Programs
HIV/AIDS Bureau
Announcements

• FY 17 X07 RWHAP Part B, ADAP FOA HRSA 17-036 Released
• Integrated HIV Prevention and Care Plan, Including the SCSN
• Updated ADR Instruction Manual
• Webinars Using RWHAP funds for Housing Services:
  • RWHAP Housing Policy Update October 11th
  • Strategies for Coordinating Health & Housing October 25th
• RSR Basics Webinar October 5th
• “Get Ready for 2017 Open Enrollment & Health Insurance Plan Renewals” October 20th
• Please visit the TARGET Center
Question and Answer Session
Funding Opportunity Announcement: HRSA #17-037

FY 2017 New - Limited Competition AIDS Drug Assistance Program (ADAP) Emergency Relief Funds (ERF)
Objective

To provide pre-application technical assistance to applicants of the FY 2017 ADAP ERF Funding Opportunity Announcement.
Summary of Funding

• Approximately $65 million in ADAP ERF to fund up to 59 recipients (*compared to $75 m in FY16*)

• Applicants may apply for a ceiling amount up to $9 million per year (*compared to $11 m in FY16*)

• Project period of one (1) year starting April 1, 2016

• Application due date in grants.gov –
  November 17, 2016 11:59 p.m. EST

  (*Note: due 1 month earlier than last year*)
There are few substantive changes from the FY17 FOA:

• There is a new ADAP funding summary narrative in the Needs Assessment section of the project narrative.

• You can use your own calculations for the average cost per client; and you only need to provide an average cost per client for the area(s) for which you are requesting funding.

• The program now includes a ‘funding preference’ for applicants who have a current ADAP waiting list.
Purpose

• For States/Territories that can demonstrate need for additional resources to prevent, reduce or eliminate ADAP waiting lists, including through cost-containment measures (for example, the provision of health insurance assistance).
Purpose

- States with a new ADAP waiting list must use all funding awarded to remove clients from the waiting list and must immediately report the waiting list to HRSA.

- States/Territories that did not report an existing waiting list, must use funding awarded to prevent a waiting list through “cost-cutting” measure and/or “cost-saving” measures.
Purpose

Cost-cutting measures:
• Reductions in ADAP financial eligibility below 300% of FPL
• Capped enrollment
• Formulary reductions with respect to ARVs
• Restrictions with respect to ADAP insurance eligibility criteria

Cost-saving measures:
• Expanding insurance assistance
• Improved systems and procedures for back billing Medicaid
• Improved client recertification processes
• Strategies to increase enrollment in insurance through State or Federally-facilitated marketplaces
• Collection of rebates
• Medicare Part D Prescription Drug Plan data-sharing agreements
HRSA has prioritized the following cost-containment strategies through its monitoring and technical assistance efforts:

• Purchase of insurance, collection of rebates, back billing of Medicaid, CMS data-sharing agreements for TrOOP expenditures, six-month re-certification, and controlling ADAP administrative costs.
Eligibility

All 50 states, the District of Columbia, and the Territories are eligible to apply if, between January 2011 - August 2016:

• the jurisdiction has reported a new ADAP waiting list to HRSA
  or
• has used the ADAP ERF funds to prevent, reduce or eliminate an ADAP waiting list.
Cost Sharing/Matching

- Cost Sharing/Matching is **NOT** required for this program.
Project Narrative

Follow the headings and subheadings in the HRSA template.

• Introduction:
  • Briefly describe how the State/Territory will utilize ADAP ERF funds in support of preventing, reducing or eliminating a waiting list, including through cost cutting or cost savings measures

• Project Abstract:
  • See section 4.1.ix of HRSA’s *SF-424 Application Guide*
  • Must also provide the information listed on page 6.
  • The abstract should align with info submitted for FY17 X07.
Needs Assessment

• State/Territory’s HIV/AIDS Epidemiologic Data and ADAP Profile:
  • The HIV/AIDS epidemiologic data from the 2017-2021 Integrated HIV prevention and Care Plan will be utilized for the RWHAP Part B Base (HRSA-17-036) application and this application, and will be provided to the ORC. Applicants are not required to submit this information in this application.

• ADAP Profile includes:
  • Eligibility for ADAP ERF Funding
  • ADAP funding summary (for FY15 & 16)
  • ADAP Funding Summary Narrative for FY 15 & FY 2016 (new this year)
  • Cost-cutting measures (for FY15 & 16)
  • Cost-saving measures (for FY15 & 16)(two new this year)
  • Client Utilization Summary for (FY15 & 16)
  • Affordable Care Act (ACA) Summary
Needs Assessment

Factors Affecting the State ADAP Capacity to Meet Need

• Applicants are required to address at least 3 factors impacting their need for additional resources to prevent, reduce, or eliminate a waiting list and describe why the ADAP is unable to meet the need with existing resources.
• The discussion should be supported by data sources as appropriate.
• The list of examples of factors has been revised this year.
Methodology

• ADAP Average Client Costs and Forecasting:

  • You must determine the average medication and/or insurance assistance cost per client for the FY 2016 ADAP ERF budget period (4/1/16 – 3/31/17) and use the average cost calculations to develop the proposed X09 budget and/or to project the impact of the proposed cost-containment measures.

  • You can determine the average cost through your own calculations, or through the cost calculation template in Appendix A. Whichever calculation methodology is used, you must provide the step-by-step calculations utilized in developing the average cost per client.

  • The forecasting is categorized on the 1) Purchase of Medications or Insurance Assistance and 2) Other Cost-Cutting or Cost-Saving Measures.
Methodology

ORC Reviewers will review and determine:

- Whether you provided the step-by-step calculations utilized in developing the average cost per client, as required;
- Whether the calculations are correct and reflected in your plan and budget request; if incorrect, the error will be identified along with its impact on your average client cost calculations and budget request;
- If applicable, whether or not you based the budget request on the number of individuals on the State/Territory’s waiting list.
Work Plan

Planned Services & Work Plan:

• The work plan should complement the implementation plan submitted for the most current RYWHAP Part B Base/ADAP (X07) application.

• Applicants are strongly encouraged to use a table format with the following sections:
  • Planned Expenditure Summary
  • Planned Expenditures by Service Category
  • Planned Expenditure by Cost-Containment Measures/Initiatives
  • SMART Objectives (new this year)
  • Service Unit Definition (new this year)
  • Time Frame Definition (new this year)

• Submit as Attachment 1.
The Work Plan section also includes the following narrative sections:

- Planned Services & Work Plan Narrative
- Anticipated Impact of ADAP ERF
- Monitoring
  - It is expected that ADAP will utilize the quality management protocols described in the RWHAP Part B (X07) application when implementing X09 services.
Resolution of Challenges

• State/Territory actions to ADAP Challenges
  • Improved program efficiencies
  • Improved ability to enroll clients in other payer sources
  • Reallocation of resources
  • Increased rebates and discounts
Organizational Information

- **ADAP Oversight/Administration**
  - Brief narrative that describes the organizational structure and resources that contribute to the administration of the ADAP to maintain compliance with legislative requirements and program expectations. Include an organizational chart for the ADAP (Attachment 5).
  - If ADAP ERF funds are being used to support ADAP personnel, please include position descriptions (Attachment 2) and biographical sketches (Attachment 3) for these staff; if not upload a document that indicates “Not Applicable” as Attachment 2 and Attachment 3.

- **Compliance with Reporting Requirements**
  - How will the State/Territory meet reporting requirements
Budget

• See instructions in section 4.1.iv HRSA’s SF-424 Application Guide.

• In Section B of SF-424A the budget categories are “Medications/Insurance” and “Other Cost Containment”.

• Please note: ERF funds cannot be used for planning and evaluation or CQM.
### Summary of Attachments

<table>
<thead>
<tr>
<th>Attachment 1</th>
<th>Work Plan (Required)</th>
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<tr>
<td>Attachment 2</td>
<td>Staffing Plan and Job Descriptions for Key Personnel (Required, either Staffing Plan and Job Descriptions for Key Personnel or ‘Not Applicable’ document)</td>
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<tr>
<td>Attachment 3</td>
<td>Biographical Sketches of Key Personnel (Required, either Bio sketches or ‘Not Applicable’ document)</td>
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<tr>
<td>Attachment 4</td>
<td>Agreements and Compliance Assurances (Required)</td>
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<tr>
<td>Attachment 5</td>
<td>ADAP Organizational Chart (Required)</td>
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<tr>
<td>Attachment 6 - 15</td>
<td>Other Relevant documents (Not Required)</td>
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Application and Submission

• HRSA requires applicants for this funding opportunity announcement to apply electronically through Grants.gov.

• Applicants must download the SF424 application package associated with this funding opportunity following the directions provided at Grants.gov.

• Application due date in grants.gov –

  November 17, 2016 11:59 p.m. EST
Application Format Requirements

• The total size of all uploaded files may not exceed the equivalent of 40 pages when printed by HRSA. The 40-page limit includes the abstract, project and budget narratives, attachments, and letters of commitment and support.

• Attachments must be clearly labeled (see list of attachments on page 16-17 of FOA).
# Application Review Information

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<tr>
<th>Criterion</th>
<th>Corresponding Sections</th>
<th>Score</th>
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<tr>
<td>1 NEED</td>
<td>Section IV’s Introduction and Needs Assessment</td>
<td>25 points*</td>
</tr>
<tr>
<td>2 RESPONSE</td>
<td>Section IV’s Methodology, Work Plan and Resolution of Challenges</td>
<td>40 points*</td>
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</tr>
<tr>
<td>4 RESOURCES/CAPABILITIES</td>
<td>Section IV’s Organizational Information and the Staffing Plan and Bio Sketches, if applicable</td>
<td>15 points</td>
</tr>
<tr>
<td>5 SUPPORT REQUESTED</td>
<td>Section IV’s Budget and Budget Narrative, and Organizational Information</td>
<td>15 points</td>
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*see breakdown of points by subsection in the FOA (pp. 19-21)
Funding Preference

• This program provides a funding preference for some applicants. Applicants receiving the funding preference will be placed in a more competitive position among applicants that can be funded.

• Funding preference will be granted to any qualified applicant that demonstrates within the application that they have a current ADAP waiting list.
Agency Contacts

• Program issues and/or technical assistance regarding this funding announcement may be obtained by contacting:
  
  Michael Goldrosen, Tel: 301-945-9779
  Fax: 301-443-8143, Email: Mgoldrosen@hrsa.gov

• Business, administrative, or fiscal issues related to this funding opportunity announcement by contacting:
  
  Karen Mayo, Tel: (301) 443-3555
  Fax: (301) 594-4073, E-mail: KMayo@hrsa.gov
**Application Assistance**

- Applicants may need assistance when working online to submit their application forms electronically. Applicants should always obtain a case number when calling for support. For assistance with submitting the application in Grants.gov, contact Grants.gov 24 hours a day, seven days a week, excluding Federal holidays at:

  Grants.gov Contact Center  
  Telephone: 1-800-518-4726 (International Callers, please dial 606-545-5035)  
  E-mail: support@grants.gov  
  Self-Service Knowledge Base:  
Question and Answer Session
Thank You